

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3335-075-55 CONT

First Inventor or Application Identifier

JOHN C. REED

Title

REGULATION OF BCL-2 GENE EXPRESSION

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Fee Transmittal Form (e.g. PTO/SB/17)

(Submit an original and a duplicate for fee processing)

ACCOMPANYING APPLICATION PARTS

2. ☒ Specification

Total Pages **80**

6. ☒ Assignment Papers (the prior application is assigned to University of Pennsylvania Reel No: 6835, Frame Nos: 295-297) (copy attached)

7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

8. ☐ English Translation Document (if applicable)

9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

10. ☒ Preliminary Amendment

11. ☒ White Advance Serial No. Postcard

12. ☐ Small Entity Statement(s) ☒ Statement filed in prior application. Status still proper and desired. (copy attached)

13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

14. ☒ Other: Request For Priority

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **16**

4. ☒ Oath or Declaration

Total Pages

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 15 completed)

i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).

☒ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/080,285, allowed

Prior application information: Examiner: MCGARRY, S.

Group Art Unit: 1635

16. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP)

of application Serial No. 09/080,285 Filed on MAY 18, 1998, allowed

Which is a continuation of application Serial No. 08/465,485, filed on June 5, 1995, patented, which is a continuation of

application Serial No. 08/124,256, filed September 20, 1993, abandoned, which is a continuation-in-part of application Serial No.

07/840,716, filed February 21, 1992, abandoned, which is a continuation-in-part of application Serial No. 07/288,692, filed

December 22, 1988, abandoned.

☐ This application claims priority of provisional application Serial No. Filed

17. CORRESPONDENCE ADDRESS

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Name: Sharon E. Crane, Ph.D.

Registration No.: 36,113

Signature: *Sharon E Crane*

Date: *Aug 17, 1999*

Name:

Registration No.:



I hereby declare [redacted] statements made herein of my own knowledge [redacted] and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Kathleen Denis, Ph.D.

TITLE OF PERSON OTHER THAN OWNER Director

ADDRESS OF PERSON SIGNING 3700 Market Street, Suite 300, Philadelphia, PA 19104

SIGNATURE Kathleen Denis DATE 28 October 1993

662700 11554600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: JOHN C. REED

GAU:

SERIAL NO: NEW APPLICATION

EXAMINER:

FILED: HEREWITH

FOR: REGULATION OF BCL-2 GENE EXPRESSION

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231



SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 09/080, 285, filed May 18, 1998, which is a continuation of application Serial No. 08/465,485, filed on June 5, 1995, patented, which is a continuation of application Serial No. 08/124,256, filed September 20, 1993, abandoned, which is a continuation-in-part of application Serial No. 07/840,716, filed February 21, 1992, abandoned, which is a continuation-in-part of application Serial No. 07/288,692, filed December 22, 1988, abandoned, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☐ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

COUNTRY

APPLICATION NUMBER

MONTH/DAY/YEAR

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

LONG ALDRIDGE & NORMAN LLP

Sharon E. Crane  
Sharon E. Crane  
Registration No. 36,113

Docket No. 3335-075-55 CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) JOHN C. REED

SERIAL NO: NEW APPLICATION

FILING DATE: HERewith

FOR: REGULATION OF BCL-2 GENE EXPRESSION

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	21 - 20 =	1	× \$18 =	\$18.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$78 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$760.00
TOTAL OF ABOVE CALCULATIONS				\$778.00
<input checked="" type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$389.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$389.00

- ☐ Please charge Deposit Account No. 50-0911 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$389.00 to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-0911.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

LONG ALDRIDGE & NORMAN LLP

Date: Aug 17, 1999

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